I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on April 1, 2002.

Frank C. Eisenschenk, Ph.D., Patent Attorney

INFORMATION DISCLOSURE STATEMENT Examining Group 1617

Patent Application Docket No. UTR-104C1 Serial No. 10/017,568

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner

Unassigned

Art Unit

1617

Applicant(s) :

Michael C. Zemel, Hang Shi, Paula C. Zemel

Serial No.

10/017,568

Filed For

December 14, 2001

MATERIALS AND METHODS FOR THE TREATMENT OR PREVENTION OF

OBESITY

Assistant Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 AND 1.98

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, Applicants would like to bring to the attention of the Examiner, the references cited in the following patent application:

U.S. Serial No. 09/654,357, filed September 1, 2000.

37 C.F.R. § 1.98(d) states that a copy of any patent, publication, or other information listed in an information disclosure statement is not required to be provided if it was previously cited by or submitted to the Office in a prior application, provided that the prior application is properly identified in the statement and relied on for an earlier filing date under 35 U.S.C. § 120.

As copies of the references cited on the attached form PTO-1449, can be found in patent application Serial Number 09/654,357, filed September 1, 2000, copies of those references are not provided herewith. The subject application, Serial No. 10/017,568, claims the benefit under 35 U.S.C. § 120 of the filing date of patent application Serial No. 09/654,357. Accordingly, Applicants respectfully request that the copies of references supplied in the Information Disclosure Statement of the 09/654,357 application, as well as references cited during prosecution thereof, be made of record in this application.

Applicants respectfully assert that the substantive provisions of 37 C.F.R. $\S\S$ 1.56, 1.97, and 1.98 are met by the foregoing statements.

Respectfully submitted,

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Attachment: PTO Form SB/08A (Substitute for Form 1449)